## STATE OF WASHINGTON DEPARTMENT OF FINANCIAL INSTITUTIONS SECURITIES DIVISION

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SDO - 94 - 01

CONSENT ORDER

Respondent.

Case No. 00-05-0174

THE STATE OF WASHINGTON TO: Mark G. Crudge

IN THE MATTER OF DETERMINING

of the Securities Act of Washington by:

Whether there has been a violation

MARK G. CRUDGE,

## INTRODUCTION

The Securities Division, Department of Financial Institutions, State of Washington, and respondent Mark G. Crudge ("Crudge") do hereby agree to this Consent Order in settlement of the above captioned matter. The Securities Division has, in SDO-101-00 as amended, made certain allegations and conclusions, set forth under the headings "Tentative Findings of Fact" and "Conclusions of Law" which are hereby incorporated by reference into this consent order.

## **ORDER AND CONSENT**

The Securities Division and Crudge have agreed on a basis for resolution of the matters alleged and concluded in SDO-101-00 as amended. Crudge agrees to entry of this Consent Order pursuant to the Securities Act of Washington without admitting or denying the Securities Division's allegations and conclusions. Crudge acknowledges the Securities Division's jurisdiction over this matter and its authority to enter this order.

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Based upon the foregoing,

CONSENT ORDER

DEPARTMENT OF FINANCIAL INSTITUTIONS Securities Division PO Box 9033 Olympia, WA 98507-9033 360-902-8760

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CONSENT ORDER

IT IS AGREED AND ORDERED that Crudge's securities salesperson and investment adviser representative licenses shall be revoked. Such revocation shall be effective immediately upon entry of this Consent Order.

IT IS FURTHER AGREED AND ORDERED that Crudge shall not make application for nor be granted a broker-dealer or investment adviser license for a period of 3 years from the date of entry of this order. Thereafter Crudge may make application for any of the foregoing licenses.

IT IS FURTHER AGREED AND ORDERED that Crudge shall not make application for nor be granted a securities salesperson or investment adviser representative license for a period of 2 years from the date of entry of this order. Thereafter Crudge may make application for any of the foregoing licenses, but such application shall not be approved until Crudge's sponsoring broker-dealer or investment adviser provides the Securities Division with a written acknowledgement that Crudge will be subject to heightened supervision. The time period that Crudge will be subject to heightened supervision will be left to the discretion of his sponsoring broker-dealer or investment adviser.

IT IS FURTHER AGREED that Crudge waives his right to a hearing in this matter.

Approved as to form by:	
James M. Shaker, Esq. WSBA #13355 Ryan, Swanson & Cleveland, PLLC Attorney for Mark G. Crudge	
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DATED this \_\_\_\_\_\_, 2001.

1	Signed by:
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4	Mark G. Crudge
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7	THIS ORDER ENTERED THIS 8th DAY OF JANUARY, 2002 BY:
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10	Debouh R Borbner
11	DEBORAH R. BORTNER
12	Securities Administrator
13	Approved for entry by:
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15	Michael E. Stevenson
16	Chief of Enforcement
17	Presented by:
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19	Chad Standifer
20	Staff Attorney
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